



## AUBURN UNIVERSITY

ALABAMA AGRICULTURAL  
EXPERIMENT STATION

### **Research and Scholarship Expectations and Guidelines for AAES Faculty Members**

Faculty members with research appointments supported by Alabama Agricultural Experiment Station (AAES) funds will be expected to meet certain general research expectations/guidelines, and consistently demonstrate evidence of scholarship:

#### ***General AAES Appointment Expectations***

- 1) Maintain an active Hatch project (and/or actively participate in a Hatch multistate project as an Official Station Representative) and submit annual progress (or final) report in REEport by the annual due date. ***Please note that non-compliance with this requirement may result in ineligibility for AAES competitive grants and other faculty support programs and/or reconsideration of AAES appointment.***
- 2) Maintain an active research program and a level of research productivity that is in accordance with your research appointment and is commensurate with Promotion and Tenure standards of your academic unit.
- 3) Acknowledge AAES and NIFA Hatch support in research materials (publications, presentations, patents, etc.).
- 4) Encouraged to engage in interdisciplinary research by working with collaborative teams to address complex issues relevant to the AAES. Active participation in at least one interdisciplinary research team is encouraged.
- 5) Encouraged to participate in AAES research support programs including grantsmanship workshops and research-related trainings.

#### ***Scholarship Expectations\*\****

High quality refereed publications (i.e., peer-reviewed journal publications, books and book chapters) are the primary indicator of research productivity. In addition, a well-rounded research scholarship portfolio should include invited and standard research presentations, non-peer reviewed publications (e.g., reports, abstracts, etc.), organization of research symposia/workshops at professional meetings, research grants/contracts, graduate/undergraduate student mentoring, patents, inventions and other creative endeavors of a significant scientific merit, professional awards and honors in recognition of research accomplishments (e.g., selection as fellow of a professional society), and service as subject editor.

- 1) **Refereed Publications:** Faculty members are expected to publish high quality peer-reviewed journal publications and scholarly books. Evidence of high quality publications include publications in high impact journals, publications with high scientific impact (measured by citations) and publications with high economic impact (including development of new innovations, technologies, or products).
  - The average number of publications per year should be consistent with what is expected within the faculty member's discipline and home department for all faculty with similar research appointments. In general, a faculty member with a 50% research appointment is expected to publish, on average, at least two peer-reviewed publications per year in an indexed journal (e.g., SCI, ISI or SSCI journal) for which the faculty provides a significant contribution. Minimum publication expectations are decreased or increased according to the research appointment.
    - *Notes: i) One high quality or high impact publication in a leading journal in the faculty's field, one book or one publication from a long term study (i.e., data collected for two or more years) can substitute for two standard publications. ii) Faculty publication record over a period of three years will be averaged to determine whether annual minimum expectations are met.*
- 2) **Research Grants/Contracts:** Faculty members are expected to demonstrate grantsmanship effort and actively seek funding from appropriate sources including internal funding, commodity funding and extramural funding (e.g., federal/state agencies, foundations, industry/corporations, international funding, etc.). The type and amount of funding should be consistent with what is available and valued within the faculty member's discipline or interdisciplinary team.
  - Active participation (as PI or Co-PI) in at least one single-discipline or interdisciplinary extramural grant proposal per year is expected for 50% research appointment. *Note that internal and commodity funding are encouraged but not considered extramural funding for this purpose.*
- 3) **Research Presentations:** Faculty members are expected to present their research findings annually at professional, scientific and/or stakeholder meetings.
- 4) **Training of Graduate and Undergraduate Students:** Faculty members are expected to participate in the training and mentoring of next generation of scientists, including graduate students and undergraduate researchers.

***\*\* Note that these minimum scholarship expectations may not satisfy AU Promotion and Tenure (P&T) expectations and does not indicate a strong annual performance. Faculty members are encouraged to maintain a higher level of scholarship productivity, and to frequently review their performance to ensure they are meeting the P&T guidelines established by their department/college.***

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